

The Strategy

The Concentrated Stock Triple Play strategy uses proprietary stock and market exposure models to **hedge downside risk and initiate tax-efficient liquidation, while diversifying concentrated account positions.**

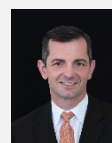
The Goals

- Hedge downside risk*
- Generate income
- Tax-efficient liquidation **
- Diversify concentrated position

Data and Discipline

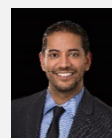
We combine three models to drive our recommendations:

- 1 A **Market Exposure Model** that uses 40+ signals to identify optimal market exposure levels in changing environments
- 2 A multi-factor **Stock Scoring Model** that discovers and interprets impactful patterns and trends to forecast stock performance vs. the market
- 3 An **Options Pricing Model** that leverages our market and stock model results to create what we believe is the optimal recommendation given current market conditions



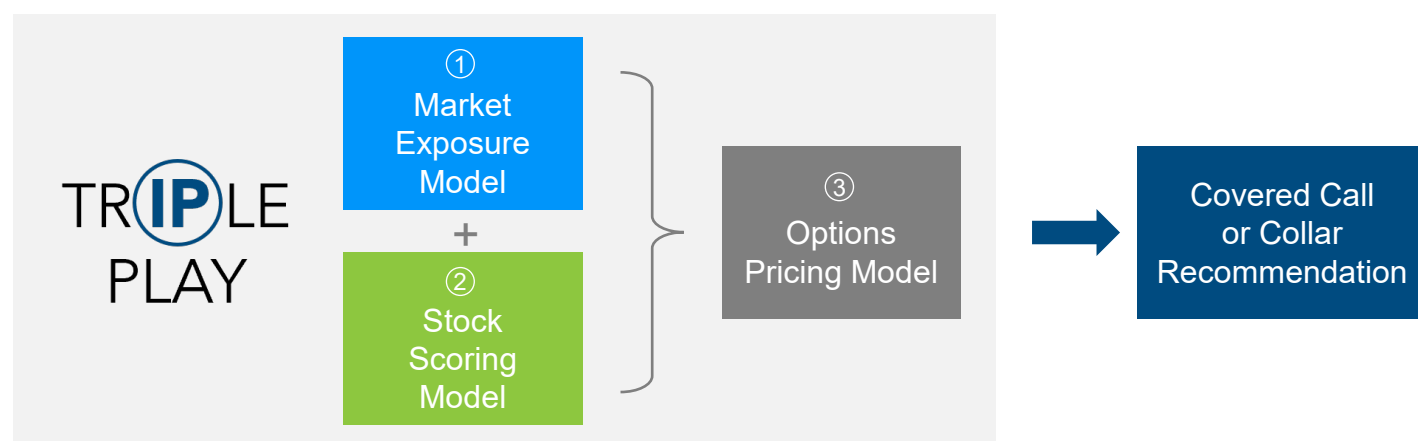
Patrick Jamin
President & CIO

"NorthCoast helps clients reach their investment goals. Whether providing investment management to a piece of a portfolio or working together on a long-term financial plan, we aim to deliver valuable advice and institutional-caliber portfolio management services."



Amish Dalal
SVP | Options Strategies

"Our team analyzes a variety of research data and utilizes technology providers to best manage a concentrated stock portfolio. The goal is to systematically manage options positions against the concentrated position to generate yield, reduce beta and enable liquidation of the position with tax efficiency."



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* Partial hedge may be obtained by and limited to the option premium. ** If the option is out-of-the-money, the client keeps the premium which can be used to pay any future tax bills when shares are eventually sold. If the option is in-the-money, it will likely be at a loss. We would then sell enough of the shares at a gain against the loss on the option to be tax neutral for the year. The information provided herein is confidential and may not be distributed to others without the prior written consent of NorthCoast Asset Management. These materials do not constitute an offer or solicitation to sell securities. This presentation may not be altered except by NorthCoast Asset Management and is presented for informational purposes only. All investments involve risk, including loss of principal. Source: NorthCoast Asset Management. PAST PERFORMANCE DOES NOT GUARANTEE OR INDICATE FUTURE RESULTS.

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Options overlay strategy does not protect from downside risk. The downside risk protection benefit of a call writing strategy is limited to the amount of the premium received. Portfolio holdings may need to be sold to generate cash to settle options. Such sales may produce tax consequences. Investors must be willing to forgo potential upside appreciation above the premium value in exchange for the incremental income. Options may expire worthless or not perform as expected, resulting in losses. Options involve risk and are not suitable for all investors. Refer to Characteristics & Risks of Standardized Options: <http://www.optionsclearing.com/about/publications/character-risks.jsp>