

## Strategy Overview

As of 9/30/24

SRI is a socially responsible long-term tactical growth strategy focused on long-term capital appreciation. Socially responsible investing (SRI) avoids industries with generally negative impacts on society and seeks out companies that are pioneering in environmental, social and corporate governance (ESG) operations. The strategy incorporates restrictions on these industries using third-party ESG analysis applied to our proprietary market outlook and stock scoring models. It invests in leading growth stocks during favorable equity environments and scales to cash to preserve gains when bear market risk is high. Adhering to a flexible investment mandate allows for allocation shifts that range between 0%-100% equities exposure.

### Primary Objectives

Long-Term Growth coupled with SRI Considerations

### Asset Allocation Range

Tactical allocation shifts based on market conditions: 0%-100%

## Socially Responsible Investing for Modern Investors

- Progressively-minded investors seek thoughtful solutions to balance their financial goals of portfolio growth and equities exposure with investment in socially responsible companies. The CAN SLIM® SRI strategy is committed to dual objectives of long-term growth while supporting sustainable industries. We believe many companies that are solving some of the biggest global challenges are also best positioned for growth, now and as these issues become more impactful to society.

### SRI Filters

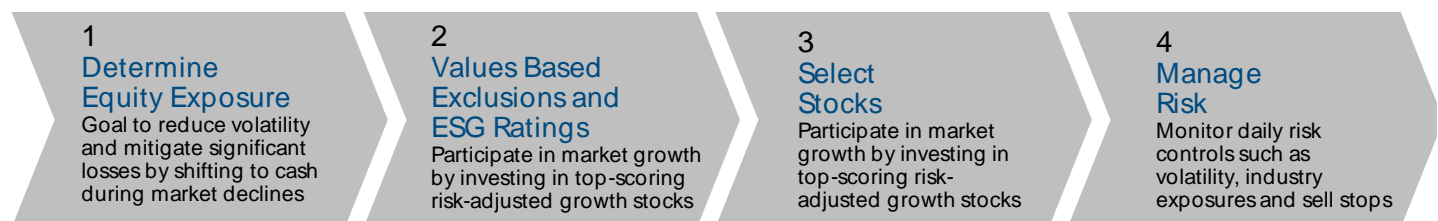
- Analyzing investments based solely upon ESG filters can inadvertently include industries that are not in line with progressive investment sensibilities. To ensure that CAN SLIM® SRI invests according to ESG qualities but also incorporates socially responsible considerations, we implement preliminary values-based restrictions on the following industries:

Gambling	Pawn Shops
Civilian Firearms	Adult Entertainment
Payday Loans	Tobacco
Military Weapons	

- For companies that operate outside of the above industries, we perform additional analysis of company operations based on the three attributes of environmental, social and corporate governance, to produce an overall ESG rating. The strategy only invests in companies rated above a certain ESG threshold.

## Investment Process

NorthCoast seeks long-term growth with downside risk protection through the implementation of a systematic 4-step process



## Total Performance (% Net)

	2019*	2020	2021	2022	2023	2024 YTD	Total Return
Socially Responsible Investing	5.5	3.2	25.6	-9.0	4.4	8.9	41.6
Tactical Competitor Average	4.9	9.0	13.2	-15.6	10.6	10.8	34.0

\* Performance results from 4/30/2019 - 12/31/2019.

## Strategy Benefits

Complementary	Low correlation to standard equity benchmarks
Defensive	Able to shift between 100% equity and 100% cash
Disciplined	Quantitatively researched and rules-based management
Transparent	Separately managed account (SMA) structure provides real-time account access

## Management

Firm	NorthCoast Asset Management
Home Office	Greenwich, Connecticut
Account Types	All brokerage, retirement, and trust accounts welcome
Contact	203.900.8836 info@northcoastam.com northcoastam.com

## About NorthCoast

NorthCoast Asset Management is an established financial advisor in the field of tactical investment management, specializing in quantitative research and constructing risk-managed equity portfolios. We continually innovate solutions designed to mitigate capital loss during unfavorable market cycles. Our experienced management team, long-term portfolio performance and premier partnerships make NorthCoast a strong option for astute growth-oriented investors seeking downside risk protection.

[Learn More](#)



## Important Disclosure Information

Past Performance is not indicative of future results. All investments involve risk, including loss of principal. NorthCoast Asset Management (NCAM) claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. The CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein. NorthCoast Asset Management is a d/b/a of, and investment advisory services are offered through, Kovitz Investment Group Partners, LLC (Kovitz), an investment adviser registered with the United States Securities and Exchange Commission (SEC). Registration with the SEC or any state securities authority does not imply a certain level of skill or training. More information about Kovitz can be found at [www.kovitz.com](http://www.kovitz.com).

The CAN SLIM® investment program is a tactical, long-term growth strategy focused on capital appreciation with a secondary objective of downside protection. The strategy invests in leading growth stocks during favorable equity environments and scales to cash to preserve gains when bear market risk is high. The strategy adheres to a flexible investment mandate that allows for allocation shifts that range between 0%-100% exposure to equities. Positions are managed (purchased and liquidated) through a combination of CAN SLIM® guidelines and a proprietary stock scoring system designed to build a comprehensive growth portfolio. CAN SLIM® is a registered trademark of O'Neil Capital Management Inc.

This material should not be viewed as a current or past recommendation or a solicitation of an offer to buy or sell any securities or investment products or to adopt any investment strategy. The reader should not assume that any investments in companies, securities, sectors, strategies and/or markets identified or described herein were or will be profitable and no representation is made that any investor will or is likely to achieve results comparable to those shown or will make any profit or will be able to avoid incurring substantial losses. Downside protection is not a guarantee against losses. Performance differences for certain investors may occur due to various factors, including timing of investment. Investment return will fluctuate and may be volatile, especially over short time horizons.